

Electronic Acknowledgement Receipt

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Application Number:	10767649
International Application Number:	
Confirmation Number:	5572
Title of Invention:	System and method for matching trading orders
First Named Inventor/Applicant Name:	Thomas J. Daley
Customer Number:	63710
Filer:	Glen Farbanish/LAURA ALTO
Filer Authorized By:	Glen Farbanish
Attorney Docket Number:	04-6176
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Application Type:	Utility under 35 USC 111(a)

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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Transmittal Letter	04-6176_100219_IDS.pdf	43258 <small>6189213646debc7025c6520c8f75c6e29 920b</small>	no	5

Warnings:

Information:

2	Information Disclosure Statement (IDS) Filed (SB/08)	04-6176_100219_1449.pdf	39752 39125d178d79810e4091f918f5c3e41077 acc	no	3
Warnings:					
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3	NPL Documents	60-706109_AP_08-05-2005.pdf	1836100 3484c08f34368b097b1c229b8f1c67b8509 83d01	no	43
Warnings:					
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4	Foreign Reference	WO0193169A1.pdf	5515677 6911b0ade4215c0bca26c58b0f30f3a08942 080	no	113
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5	NPL Documents	11-499833_OA_05-19-2008.pdf	347472 fca00b44c5c0415c506c248ab90ab9dfb0d7 c13d	no	12
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6	NPL Documents	11-499833_OA_11-14-2008.pdf	437637 1a4ee0f6ab655c77c8a86921222dc0f33f9 b76	no	14
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7	NPL Documents	11-499833_OA_08-18-2009.pdf	451799 64bc5750914E5007d3ac7b6f2af6d3838038 1b15	no	15
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8	NPL Documents	10-766965_OA_11-21-2008.pdf	610647 2b7b3c108405801a09d47c52796c7a2f300 54c4	no	20
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9	NPL Documents	11-499496_OA_05-01-2008.pdf	351266 4be09ac2412694b03ee618b2c7b323469f05 db1269	no	12
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10	NPL Documents	AU2006278384_Examiners_Re port_08-05-2009.pdf	181574 4143b86584ec09a79f67b0c0c556ac28f1ac2 Subd	no	3

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11	NPL Documents	10-767546_OA_10-07-2009.pdf	660473 558991a29f77815c8dc0ff1e4530408a3018887	no	19
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12	NPL Documents	10-767588_OA_10-27-2009.pdf	218987 2925782363b8ed95c24c934e1b0c538a32c5d8	no	6
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13	NPL Documents	10-767699_OA_10-20-2008.pdf	133427 afc7d4d49cc98197a028b47c9cdccfac9a3cf7a86	no	3
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14	NPL Documents	10-767649_EXIN_06-23-2009.pdf	60086 c15bc7b3d0b992ac088e1ac31f957d8a472a8113	no	2
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15	NPL Documents	11-499833_EXIN_09-03-2009.pdf	63933 da03ec08d70891cb4570b77361d4b046551cd8e4	no	2
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16	NPL Documents	11-499496_EXIN_07-27-2009.pdf	168653 a9c67a3d4b46495521d9b52814d9206da3c69b6	no	4
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17	NPL Documents	AU2005208980_Examiners_Report_11-17-2009.pdf	148395 9a1678973bc07d89c6456a551d4e0c645ef2816d	no	2
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18	NPL Documents	AU2005208978_Examiners_Report_11-26-2009.pdf	72721 38a1e9a176171c7236d8d8f5a9fcb379b3600a5	no	1
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19	NPL Documents	AU2005208981_Examiners_Report_12-14-2009.pdf	183718 08c0bc280446d8d0c5f1117a9c3ea1109445d84f	no	3

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20	NPL Documents	10-767649_OA_08-27-2009.pdf	703229 8dfeeb4b15d839d8f9c90d347c3f2c10e30c	no	20
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21	NPL Documents	10-767699_EXIN_12-23-2009.pdf	160235 2a27e8f10c61c01882bfe16a2b75c59c3f8141	no	3
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22	NPL Documents	10-767699_Ex_Answer_App_Brief_12-24-2009.pdf	525562 bd1a28f111a267103f1ca6f81458fde2db608be	no	16
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23	NPL Documents	10-766965_Ex_Answer_App_Brief_01-20-2010.pdf	569468 28af28cc2845c69b8b8e2411c394d37d398c31	no	19
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24	NPL Documents	11-499496_NOA_02-17-2010.pdf	3892224 383d478b348d055d5cc6a9d51823bfcd748fc	no	89
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Information:					
25	NPL Documents	Regulation_of_Specialists_Business_Lawyer_11-2005.pdf	5323319 af58228b8d8dce28c8ef6c222f0b16f9e0d4	no	71
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26	NPL Documents	Hybrid_Approach_NYSE_12-09-2004.pdf	488822 0a16811971ab376d1889fb4e6db703aa05c8726	no	7
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Total Files Size (in bytes):			23188434		

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.